

Rev. 11/98

Modified PTO 1083
Not For A Small Entity



PATENTS

Atty Docket No. BURST-15

Applicants : Mark O. Worthington et al.
Application No. : 09/378,878
Filed : August 23, 1999
For : METHODS AND APPARATUS FOR ANALYZING
OPERATIONAL AND NONOPERATIONAL DATA
ACQUIRED FROM OPTICAL DISCS
Group Art Unit : 2752
Examiner : Not yet assigned

New York, New York 10020
November 9, 1999

Box MISSING PARTS
Hon. Assistant Commissioner
for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☐ a Preliminary Amendment;
☐ a Supplemental Amendment; ☐ a substitute Specification; ☒ a Declaration;
☐ a Supplemental Declaration; ☐ a Power of Attorney; ☐ an Associate Power of
Attorney; ☐ formal drawings; to be filed in the above-identified patent
application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.

☐ A fee for additional claims is required.

The additional fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR*		PRESENT EXTRA		RATE		ADDITIONAL
TOTAL CLAIMS	206	-	206	=	186	x	\$18	=	\$.00
INDEPENDENT CLAIMS	13	-	13	=	10	x	\$78	=	\$.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM						+	\$260	=	\$ 0.00
								TOTAL	\$0.00

* Filing fee being paid concurrently herewith.

- ☒ A check in the amount of \$4,888.00 in payment of the (filing) fee is transmitted herewith.
- ☒ The Commissioner is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.16 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- ☐ Please charge \$ _____ to Deposit Account No. 06-1075 in payment of the fee for additional claims. A duplicate copy of this transmittal letter is transmitted herewith.

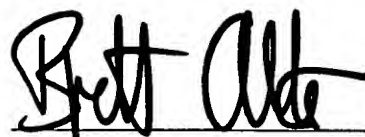
EXTENSION FEE

- ☐ The following extension fee is applicable to the Response filed herewith:
☐ \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); ☐ \$380.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); ☐ \$870.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1,360.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1,850.00 extension fee for response within fifth month pursuant to 37 C.F.R. § 1.136(a).
- ☐ A check in the amount of ☐ \$110.00 ☐ \$380.00 ☐ \$870.00 ☐ \$1,360.00 ☐ \$1,850.00 in payment of the extension fee is transmitted herewith.
- ☒ The Commissioner is hereby authorized to charge payment of any additional extension fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- ☐ Please charge the ☐ \$110.00 ☐ \$380.00 ☐ \$870.00 ☐ \$1,360.00 ☐ \$1,850.00 extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

I Hereby Certify that this Correspondence is being Deposited with the U.S. Postal Service as First Class Mail in an Envelope Addressed to: ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231 on

November 9, 1999
Claire J. Saintil

Signature of Person Signing



Brett G. Alten
Registration No. 42,258
Attorney for Applicants

FISH & NEAVE
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000



Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/378,878

08/23/99

WORTHINGTON

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BURST-15

0232/0909

BRETT G ALTEN

NOT ASSIGNED

FISH & NEAVE

1251 AVENUE OF THE AMERICAS

NEW YORK NY 10020-1104

2752

DATE MAILED:

09/09/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 9 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 5015.00.

☒ 1. The statutory basic filing fee is:

☒ missing

☐ insufficient

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 3348.00 for 186 total claims over 20.

\$ 780.00 for 10 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

11/17/1999 TTRAM 00000019 09378878

01 FC:101

02 FC:102

03 FC:103

04 FC:105

760.00 OP

780.00 OP

3348.00 OP

130.00 OP

5018

Customer Service Center

Initial Patent Examination Division (703) 308-1202

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

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Application No. : 09/378,878
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New York, New York 10020
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SUBMISSION OF EXECUTED
DECLARATION and FILING FEE

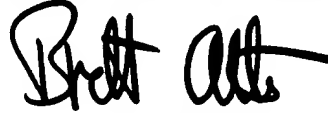
Sir:

Pursuant to 37 C.F.R. § 1.53(f), and in accordance with the requirement in the Notice to File Missing Parts dated September 9, 1999 (copy enclosed), submitted herewith is a declaration executed by the inventors in the above-identified patent application, as well as a check in the amount of \$4,888.00 in payment of the filing fee.

A check in the amount of one-hundred thirty dollars (\$130.00), in payment of the surcharge set forth in 37 C.F.R. § 1.16(e), is also enclosed. The Commissioner is hereby authorized to charge any additional surcharge due,

or to credit any overpayment, in connection with this Submission, to Deposit Account No. 06-1075. A duplicate copy of this Submission is enclosed herewith.

Respectfully submitted,

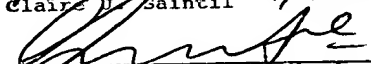


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I Hereby Certify that this
Correspondence is being
Deposited with the U.S.
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Class Mail in an Envelope
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PATENTS.
WASHINGTON, D.C. 20231 on


Claire N. Saintil


Signature of Person Signing